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U.S. PTO
17302
107723899
112503

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. IN-5491	
		First Named Inventor Charles L. TAZZIA.	
		Filing Date November 25, 2003	
		Title METHOD OF MAKING EMULSION COATING CONTAINING SOLID CROSSLINKING AGENT	
		Express Mail Certificate ER047859548US	
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO: Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450	

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>	8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>
3. <input checked="" type="checkbox"/> Specification [Total Pages 21] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii <input type="checkbox"/> paper <input type="checkbox"/> Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input checked="" type="checkbox"/> 37 CFR§3.73(b) Statement <input type="checkbox"/> Power Of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/ PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> other:

4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input type="checkbox"/>] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 02] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting</small>	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP) of prior application No _____, filed on _____.
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Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	<div style="border: 1px solid black; padding: 5px; display: inline-block;">26922</div>	or	<input type="checkbox"/> Correspondence address below
--	--	----	--

Country	USA	Telephone	(248) 948-2355	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin		Registration No. (Attorney Agent)		33,772
Signature	<i>Anne G. Sabourin</i>			Date	November 25, 2003

FEE TRANSMITTAL

For FY 2002

Patent fees are subject to annual revision.

Attorney Docket No.

IN-5491

First Named Inventor

Charles L. TAZZIA

Filing Date

November 25, 2003

Title

METHOD OF MAKING EMULSION COATING
CONTAINING SOLID CROSSLINKING AGENT

Express Mail Certificate

ER047859548US

TOTAL AMOUNT OF PAYMENT \$810.00**METHOD OF PAYMENT (check all that apply)**☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number 23-3425

Deposit Account Name BASF CORPORATION

The Commissioner is authorized to: (check all that apply)

☒ Change fees indicated below ☒ Charge the Issue Fee Set in 37 CFR §☒ Change any addition fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE****Large Entity**

Fee	Code	Fee Description
101	740	Utility filing fee
106	330	Design filing fee
107	540	Plant filing fee
108	790	Reissue filing fee
114	160	Provisional filing fee
		SUBTOTAL (1)

\$770.00

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims 13 -20** = 0 x 18 = \$

Independent Claims 02 - 3** = 0 x 84 = \$

Multiple Dependent 280 =

**Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Fee

Code (\$)

103 18 Claims in excess of 20

102 80 Independent claims in excess of 3

104 270 Multiple dependent claims, if not paid

109 82 **Reissue independent claims over original patent

110 22 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

SIR after Examiner action

3. ADDITION FEES

Large	Entity	Fee Description	Fee Paid
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Fee Code	Fee (\$)		
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105 130 Surcharge -late filing fee or oath

147 2,520

SIR after Examiner action

SIR after Examiner action

127 50 provisional ailing or cover sheet

139 130 Non-English specification

115 110 Extension for reply within
first month116 400 Extension for reply within
second month117 920 Extension for reply within
third month118 1,440 Extension for reply within
fourth month128 1,960 Extension for reply within
fifth month

119 320 Notice of Appeal

119 320 Notice of Appeal

120 320 Filing a brief in support of an
appeal

121 270 Request for oral hearing

138 1,510 Petition to institute a public
use proceeding

140 110 Petition to revive-unavoidable

141 1,280 Petition to revive-unintentional

142 1,280 Utility issue fee (or reissue)

143 450 Design issue fee

144 670 Plant issue fee

122 130 Petition to the Commissioner

123 50 Petition related to provisional
application

126 240 Submission of information

Disclosure Statement

581 40 Recording each patent

\$40.

Assignment per property (time
number of properties)146 790 Filing a submission after final
rejection149 790 For each additional invention to
be examined (37 CFR 1.129(b))

Other fee (specify)

Reduced By Basic Fee Paid Filing **SUBTOTAL (3)**

\$40.

18. CORRESPONDENCE ADDRESS☒ Customer Number

26922

or

☐ Correspondence address below

Country

USA

Telephone

(248) 948-2021

Fax

(248) 948-2093

Name (print Type)

Anne Gerry Sabourin

Registration No. (Attorney Agent)

33,772

Signature

Anne G. Sabourin

Date

November 25, 2003

PATENT

(Practitioner's Docket No. IN-5491)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA

US Serial No. Herewith

Filed: Tuesday, November 25, 2003

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: METHOD OF MAKING EMULSION COATING CONTAINING SOLID CROSSLINKING AGENT

Patent*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents
Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

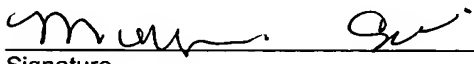
☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Addressee"
Mailing Label No: **ER047859548**

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: November 25, 2003


Signature

Marjorie Ellis

(type or print name of person certifying)

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page1)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(S) AUTHORIZED TO SIGN

3. Michael F. Morgan, 42,906
(type name of person authorized to sign on behalf of assignee)
Attorney

Title of person authorized to sign

3b. Anne Gerry Sabourin, 33,772
(type name of person authorized to sign on behalf of assignee)
Assistant General Counsel

Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel _____, Frame _____.

2. ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____

Name of inventor(s)

To: _____

Recorded in PTO:

Reel _____ Frame _____

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No: 33,772

Anne G. Sabourin
SIGNATURE OF ATTORNEY

Anne Gerry Sabourin
Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation
26701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922

PATENT

(Practitioner's Docket No. IN-5491

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA

US Serial No. Herewith

Filed: Tuesday, November 25, 2003

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: METHOD OF MAKING EMULSION COATING CONTAINING SOLID CROSSLINKING AGENT

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ER047859548US

Date of Deposit

November 25, 2003

I hereby certify that the following attached paper or fee

X	Patent Application Data Sheet
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

PATENT

(Practitioner's Docket No. IN-5491)

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In re Application of

Charles L. TAZZIA

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Filed: Tuesday, November 25, 2003

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Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **ER047859548US**

Date of Deposit

November 25, 2003

I hereby certify that the following attached paper or fee

☒ Combined Declaration and Power of Attorney 02 pages

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

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PO Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ER047859548US

Date of Deposit November 25, 2003

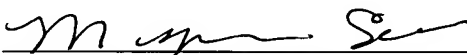
I hereby certify that the following attached paper or fee

<input checked="checked" type="checkbox"/> English Application			
Specification on	<input type="text" value="17"/>	pages;	claims on
the abstract on	<input type="text" value="01"/>	page(s);	drawings on
			<input type="text" value="03"/>
			page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

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